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PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

31281 U.S. PTO
10/717294



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

12710.0024

First Inventor

Kenneth B. Tingey

Title

A System Architecture and Method For Entering and
Accessing Entity Data in Events Accounting

Express Mail Label No.

EV 333 424 938 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 27]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 27]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Brent T. Winder				
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City	Salt Lake City	State	Utah	Zip Code	84101-1644
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Name (Print/Type)	Brent T. Winder	Registration No. (Attorney/Agent)	46,250
Signature		Date	11/19/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



16569 U.S. PTO

PTO/SB/17 (09-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kenneth B. Tingey
Examiner Name	
Group Art Unit	
Attorney Docket No.	12710.0024.P

TOTAL AMOUNT OF PAYMENT (\$) 790

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-1723 Deposit Account Name: Jones, Waldo, Holbrook & McDonough <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath	
FEE CALCULATION		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 710 201 355 Utility filing fee 750		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
106 320 206 160 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 490 207 245 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 710 208 355 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 150 214 75 Provisional filing fee		116 390 216 195 Extension for reply within second month	
SUBTOTAL (1) (\$) 750		117 890 217 445 Extension for reply within third month	
2. EXTRA CLAIM FEES		118 1,390 218 695 Extension for reply within fourth month	
Total Claims <input type="text"/> - 20** = <input type="text"/> X <input type="text"/> = 0		128 1,890 228 945 Extension for reply within fifth month	
Independent Claims <input type="text"/> - 3** = <input type="text"/> X <input type="text"/> = 0		119 310 219 155 Notice of Appeal	
Multiple Dependent <input type="text"/> = 0		120 310 220 155 Filing a brief in support of an appeal	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description		121 270 221 135 Request for oral hearing	
103 18 203 9 Claims in excess of 20		138 1,510 138 1,510 Petition to institute a public use proceeding	
102 80 202 40 Independent claims in excess of 3		140 110 240 55 Petition to revive - unavoidable	
104 270 204 135 Multiple dependent claim, if not paid		141 1,240 241 620 Petition to revive - unintentional	
109 80 209 40 ** Reissue independent claims over original patent		142 1,240 242 620 Utility issue fee (or reissue)	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		143 440 243 220 Design issue fee	
SUBTOTAL (2) (\$) 0		144 600 244 300 Plant issue fee	
**or number previously paid, if greater; For Reissues, see above		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 240 126 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties) 40	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Brent T. Winder	Registration No. (Attorney/Agent)	46,250
Signature		Telephone	801 521-3200
		Date	11/19/03

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**JONES
WALDO**
HOLBROOK &
MCDONOUGH PC

PATENT APPLICATION
Docket No. 12710.0024.P
EXPRESS MAIL LABEL: EV 333 424 938 US

ATTORNEYS & COUNSELORS
EST. 1875

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

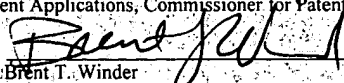
Sir/Madam:

Transmitted herewith for filing is the patent application of Kenneth B. Tingey for A SYSTEM ARCHITECTURE AND METHOD FOR ENTERING AND ACCESSING ENTITY DATA IN EVENTS ACCOUNTING comprising 41 pages of specification and claims, and a Combined Declaration, Power of Attorney and Petition with signatures.

- X Priority of Serial No. 60/427,889 filed November 20, 2002, in the United States Patent & Trademark Office and Serial No. 60/428,015 filed November 21, 2002, in the United States Patent & Trademark Office is hereby claimed.

Enclosed also are:

- X 27 sheet(s) of drawings (informal)
- X A Declaration, Power of Attorney and Petition
- X An Assignment
- X Fee Transmittal Sheet for FY 2001 and copy
- X Postcard
- X A Certificate of Mailing by "Express Mail" certifying a filing date of November 19, 2003, by use of Express Mail Label No. EV 333 424 938 US.

EXPRESS MAIL LABEL NO.: EV 333 424 938 US		CERTIFICATE OF DEPOSIT	DATE OF DEPOSIT November 19, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is address to: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.			
			 Brent T. Winder

X Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed referenced attached (if indicated as being attached by the Information Disclosure Statement).

The filing fee has been calculated as shown below.

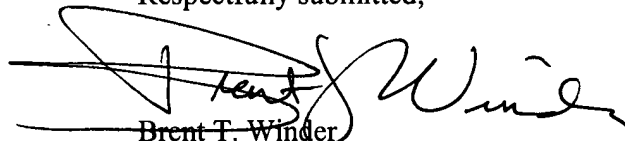
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOT. CLAIMS	- 20 =		X 9 =	\$	X 18 =	\$
IND. CLAIMS	- 3 =		X 42 =	\$	X 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE			\$40	\$40	\$40	
			TOTAL		TOTAL	\$790

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1723 and any additional filing fees required under 37 C.F.R. § 1.16 and any patent application processing fees under 37 C.F.R. § 1.17.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 19th day of November, 2003.

Respectfully submitted,



Brent T. Winder
Attorney for Applicant
Registration No. 46,250

JONES, WALDO, HOLBROOK & MCDONOUGH, PC
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BTW/jsm

Docket: 12710.0024.P
Enclosures

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label No. * EV 333 424 938 US

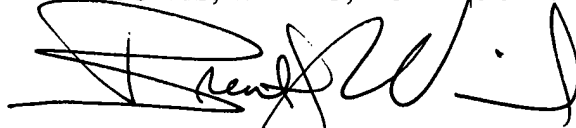
Date of Deposit: * November 19, 2003

I hereby certify that the enclosed new patent application entitled "A SYSTEM ARCHITECTURE AND METHOD FOR ENTERING AND ACCESSING ENTITY DATA IN EVENTS ACCOUNTING", along with the accompanying documents – Non-Provisional Application for Patent Cover Sheet; Fee Transmittal for FY 2001 and copy; Declaration, Power of Attorney and Petition; 27 sheets of informal drawings; Provisional Application Cover Sheet; Information Disclosure Statement and related prior art; a return postcard, and a transmittal letter to the Patent Office, in the name of Kenneth B. Tingey – is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner of Patents, Box Patent Applications, Washington, D.C. 20231.

Respectfully submitted this 19th day of November, 2003.

Respectfully submitted,

JONES, WALDO, HOLBROOK & McDONOUGH

A handwritten signature in black ink, appearing to read "Brent T. Winder", is written over a horizontal line.

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Attorney Docket No. 12710.0024.P